

AC



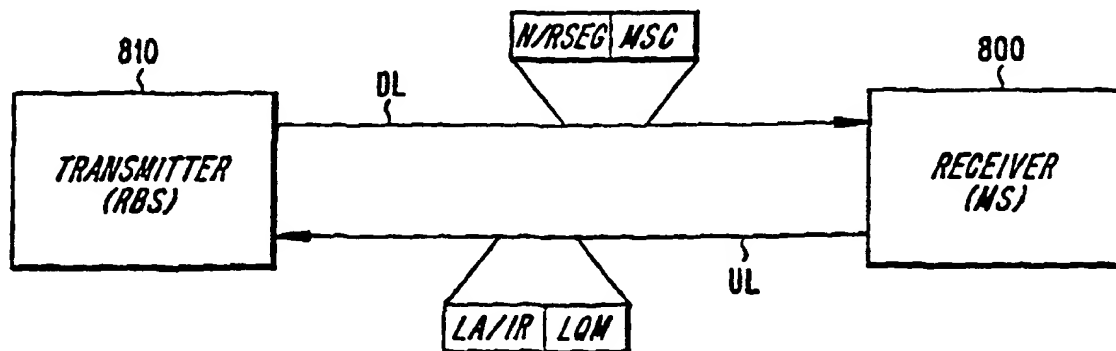
PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>7</sup> : H04L 1/12, 1/18		A1	(11) International Publication Number: <b>WO 00/49760</b>
			(43) International Publication Date: 24 August 2000 (24.08.00)
(21) International Application Number: PCT/SE00/00269		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 11 February 2000 (11.02.00)			
(30) Priority Data: 09/252,989 19 February 1999 (19.02.99) US			
(71) Applicant: TELEFONAKTIEBOLAGET LM ERICSSON (publ) [SE/SE]; S-126 25 Stockholm (SE).			
(72) Inventors: JÄVERBRING, Stefan; Däntorp, S-136 50 Haninge (SE). ERICSSON, Stefan; Bergsundsgatan 17, S-117 37 Stockholm (SE). WALLMAN, Mattias; Pilvägen 34, S-191 42 Sollentuna (SE). FURUSKÄR, Anders; Vanadisvägen 8, S-113 46 Stockholm (SE).			
(74) Agent: MILDH, Christer; Ericsson Radio Systems AB, Ericsson Research/Patent Support Unit, S-164 80 Stockholm (SE).		Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.	

(54) Title: METHOD AND SYSTEM FOR CONTROL SIGNALLING ENABLING FLEXIBLE LINK ADAPTION IN A RADIOCOMMUNICATION SYSTEM



(57) Abstract

Control signalling for systems employing link adaptation and incremental redundancy is described. A link adaptation/incremental redundancy message can be transmitted from a receiving entity to a transmitting entity to inform the transmitting entity of the receiving entity's incremental redundancy status or preference. Another message, which indicates whether resegmentation should be performed for retransmitted blocks can also be transmitted from a receiving entity to a transmitting entity. Both of these messages can be used by the transmitting entity to determine an appropriate modulation/coding scheme for subsequent transmissions of both original data blocks and retransmitted data blocks. The messages can be used together or independently in either link (uplink or downlink) between a base station and a mobile station in a radiocommunication system.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

-1-

## METHOD AND SYSTEM FOR CONTROL SIGNALLING ENABLING FLEXIBLE LINK ADAPTION IN A RADIOCOMMUNICATION SYSTEM

**BACKGROUND**

5       The present invention generally relates to mode signalling in the field of communication systems and, more particularly, to mode signalling involving multiple modulation and coding schemes, link adaptation and incremental redundancy in digital communication systems.

10       The growth of commercial communication systems and, in particular, the explosive growth of cellular radiotelephone systems, have compelled system designers to search for ways to increase system capacity without reducing communication quality beyond consumer tolerance thresholds. One technique to achieve these objectives involved changing from systems wherein analog modulation was used to impress data onto a carrier wave, to systems wherein digital modulation was used to impress the data  
15       on carrier waves.

      In order to provide various communication services, a corresponding minimum user bit rate is required. For example, for voice and/or data services, user bit rate corresponds to voice quality and/or data throughput, with a higher user bit rate producing better voice quality and/or higher data throughput. The total user bit rate is  
20       determined by a selected combination of techniques for speech coding, channel coding, modulation and resource allocation (e.g., for a TDMA system, the number of assignable time slots per call and for a CDMA system, the number of codes assigned to a call).

      Considering first the impact of modulation, different digital communication systems have conventionally used a variety of linear and non-linear modulation schemes  
25       to communicate voice or data information. These modulation schemes include, for example, Gaussian Minimum Shift Keying (GMSK), Quadrature Phase Shift Keying (QPSK), Quadrature Amplitude Modulation (QAM), etc. Typically, each communication system operates using a single modulation scheme for transmission of information under all conditions. For example, ETSI originally specified the GSM

-2-

standard to communicate control, voice and data information over links using a GMSK modulation scheme to provide transmission and retransmission of information.

Depending on the modulation scheme used by a particular system, the throughput of a packet transmission scheme deteriorates differently as C/I levels decrease. For example, modulation schemes may use a different number of values or levels to represent information symbols. The signal set, i.e., amplitude coefficients, associated with QPSK, an exemplary lower level modulation (LLM) scheme, are illustrated in Figure 1(a). By way of comparison, 16QAM is a higher level modulation (HLM) scheme having the signal set depicted in Figure 1(b).

As can be seen in Figures 1(a) and 1(b), the minimum Euclidean distance between the coefficients in the LLM scheme is greater than the minimum Euclidean distance between coefficients in the HLM scheme for the same average signal power, which makes it easier for receive signal processing to distinguish between modulation changes in the LLM scheme. Thus, LLM schemes are more robust with respect to noise and interference, i.e., require a lower carrier-to-interference (C/I) level to achieve acceptable received signal quality. HLM schemes, on the other hand, provide greater user bit rates, e.g., 16QAM provides twice the user bit rate of QPSK, but require higher C/I levels.

More recently, however, dynamic adaptation of the modulation used for transmission in radiocommunication systems types has been considered as an alternative that takes advantage of the strengths of individual modulation schemes to provide greater user bit rates and/or increased resistance to noise and interference. An example of a communication system employing multiple modulation schemes is found in U.S. Patent No. 5,577,087. Therein, a technique for switching between 16QAM and QPSK is described. The decision to switch between modulation types is made based on quality measurements, however this system employs a constant user bit rate which means that a change in modulation scheme also requires a change in channel bit rate, e.g., the number of timeslots used to support a transmission channel.

In addition to modulation schemes, digital communication systems also employ various techniques to handle erroneously received information, which techniques also

-3-

affect the bit rate experienced by the user. Generally speaking, these techniques include those which aid a receiver to correct the erroneously received information, e.g., forward error correction (FEC) techniques, and those which enable the erroneously received information to be retransmitted to the receiver, e.g., automatic retransmission request (ARQ) techniques. FEC techniques include, for example, convolutional or block coding of the data prior to modulation. FEC coding involves representing a certain number of data bits using a certain number of code bits. Thus, it is common to refer to convolutional codes by their code rates, e.g.,  $1/2$  and  $1/3$ , wherein the lower code rates provide greater error protection but lower user bit rates for a given channel bit rate.

ARQ techniques involve analyzing received blocks of data for errors and requesting retransmission of blocks which contain errors. Consider, for example, the block mapping example illustrated in Figure 2 for a radiocommunication system operating in accordance with the Generalized Packet Radio Service (GPRS) optimization which has been proposed as a packet data service for GSM. Therein, a logical link control (LLC) frame containing a frame header (FH), a payload of information and a frame check sequence (FCS) is mapped into a plurality of radio link control (RLC) blocks, each of which include a block header (BH), information field, and block check sequence (BCS), which can be used by a receiver to check for errors in the information field. The RLC blocks are further mapped into physical layer bursts, i.e., the radio signals which have been GMSK modulated onto the carrier wave for transmission. In this example, the information contained in each RLC block can be interleaved over four bursts (timeslots) for transmission.

When processed by a receiver, e.g., a receiver in a mobile radio telephone, each RLC block can, after demodulation, be evaluated for errors using the block check sequence and well known cyclic redundancy check techniques. If there are errors, then a request is sent back to the transmitting entity, e.g., a base station in a radiocommunication system, denoting the block to be resent using predefined ARQ protocols. The variation of both modulation and FEC schemes (referred to herein jointly as "modulation/coding schemes" or "MCS") to provide link adaptation in conjunction with ARQ is described, for example, in U.S. Patent Application Serial No. 08/921,318,

entitled "A Method for Block ARQ with Reselection of FEC Coding and Modulation", filed on August 29, 1997, the disclosure of which is incorporated here by reference.

Strengths and weaknesses of these two error control schemes can be balanced by combining FEC and ARQ techniques. Such combined techniques, commonly referred to as hybrid ARQ techniques, permits correction of some received errors using the FEC coding at the receiver, with other errors requiring retransmission. Proper selection of FEC coding schemes with ARQ protocols thus results in a hybrid ARQ technique having greater reliability than a system employing a purely FEC coding scheme with greater throughput than a system employing a purely ARQ-type error handling mechanism.

An example of a hybrid ARQ scheme can be found in GPRS. The GPRS optimization provides four FEC coding schemes (three convolutional codes of different rate and one uncoded mode). After one of the four coding schemes is selected for a current LLC frame, segmentation of this frame to RLC blocks is performed. If an RLC block is found to be erroneous at the receiver (i.e., it has errors which cannot be corrected) and needs to be retransmitted, the originally selected FEC coding scheme is used for retransmission, i.e., this system employs fixed redundancy for retransmission purposes. The retransmitted block may be combined with the earlier transmitted version in a process commonly referred to as soft combining in an attempt to successfully decode the transmitted data.

Another proposed hybrid ARQ scheme, sometimes referred to as incremental redundancy or type-II hybrid ARQ, provides for additional redundant bits to be transmitted if the originally transmitted block cannot be decoded. This scheme is conceptually illustrated in Figure 3. Therein, three decoding attempts are made by the receiver. First, the receiver attempts to decode the originally received data block (with or without redundancy). Upon failure, the receiver then receives additional redundant bits R1, which it uses in conjunction with the originally transmitted data block to attempt decoding. As a third step, the receiver obtains another block of redundant information R2, which it uses in conjunction with the originally received data block and the block of redundant bits R1 to attempt decoding for a third time. This process can be repeated until successful decoding is achieved.

-5-

As compared with link adaptation, incremental redundancy does not require that link quality estimates be transmitted or used. However, one problem with this technique is the large memory requirement associated with storing the data block (and possibly additional blocks of redundant bits) until a successful decode occurs, which storage is needed since the subsequently transmitted redundancy blocks (e.g., R1 and R2) cannot be independently decoded to give the same performance as if combined decoding was used. The storage requirements are further increased if the receiver stores a multi-bit soft value associated with each received bit, the soft values indicating a confidence level associated with the decoding of the received bit.

Many variations and combinations of these techniques are possible. For example, it is possible to combine link adaptation with incremental redundancy. This results in an incremental redundancy scheme wherein the MCS of the first transmission can be varied, e.g., such that the first transmission is made using some channel coding or not the least robust modulation. In such a combination, the MCS can be changed for many reasons, e.g., to reduce the number of retransmissions or delay or to dynamically adapt to changes in memory requirements.

MCS changes may or may not be based solely on reported link quality estimates. For example, when incremental redundancy is used and the receiver has limited memory it may be beneficial to increase the robustness of the MCS even though (in a system with unlimited memory) it would decrease throughput. Consider the following scenario.

Using a less robust MCS, the number of required retransmissions for successful incremental redundancy combination will be higher. This, in turn, requires a lot of memory. If the receiver runs out of memory, it will begin to discard received blocks that have previously been stored for later incremental redundancy combination. Since the information transmitted using the relatively unrobust MCS probably relies in part upon incremental redundancy combining to achieve acceptable decoding performance, the result may be significant degradation in received signal quality. Hence it may be better under such circumstances to dynamically increase the robustness of the transmission's MCS, e.g., when the receiver starts to run out of memory.

-6-

Yet another factor which further complicates this process is the possibility of changing the MCS associated with blocks that are being retransmitted. If link adaptation is used without incremental redundancy, then changing the MCS for retransmissions may be very desirable based upon the measured link quality. On the other hand, if  
5 incremental redundancy is employed, using a different MCS may make it impossible to combine the retransmitted block with the originally transmitted block. However, if the link quality changes significantly it still may be desirable to change the MCS even if some of the earlier transmitted data blocks cannot be used in the redundancy combining process at the receiver.

10 Thus it can be seen that there are many challenges associated with optimizing the manner in which these various techniques are employed. To enable dynamic changing of the MCS during a connection, some form of overhead signalling is necessary between the transmitter and receiver. Conventionally, overhead signalling associated with MCS changes has been performed as illustrated in Figures 4(a) and 4(b). In Figure 4(a),  
15 control of MCS changes resides with the transmitting entity 40. Then, the receiving entity 42 makes quality measurements on signals transmitted on the forward link 44. The receiving entity 42 transmits the quality measurements on the reverse link 46 back to the transmitting entity 40, which then determines an appropriate MCS for subsequent block transmissions. This information is then forwarded to the receiving entity 42 so  
20 that it is prepared for any changes in the MCS.

Alternatively, control of MCS changes may reside with the receiving entity 42 as shown in Figure 4(b). Then, the receiving entity 42 makes quality measurements on the forward link as in Figure 4(a). However, instead of transmitting the quality measurements to the transmitting entity 40, the receiving entity determines if any MCS  
25 changes are desirable and forwards such information to the transmitting entity on the reverse link 46.

Both of these conventional signalling techniques have certain drawbacks in the context of systems which can employ both link adaptation and incremental redundancy. Specifically, the signalling technique of Figure 4(a) suffers from the drawback that the  
30 transmitter 40, which is controlling the MCS changes, has no knowledge of the receiver



-7-

42's memory status. As mentioned above, without this knowledge, the transmitter cannot properly select an MCS which is appropriate based on both the link quality and the limited memory available to support incremental redundancy combining at the receiver.

5 Similarly, the conventional technique of Figure 4(b) also suffers from drawbacks. For example, the conventional MCS choice information transmitted on the reverse link 46 applied only to originally transmitted blocks. As described above, however, it may be desirable for the MCS for originally transmitted blocks and retransmitted blocks to be different.

10 Accordingly, it would be desirable to provide enhanced techniques for controlling the operation of a radiocommunication system involving link adaptation and incremental redundancy.

## 15 SUMMARY

These and other drawbacks and limitations of conventional methods and systems for communicating information are overcome according to the present invention, wherein additional control messages are provided to permit flexible implementation of link adaptation and incremental redundancy techniques. According to a first exemplary 20 embodiment, a message can be transmitted between two entities which informs a transmitting entity whether a receiving entity currently prefers incremental redundancy. For example, if the receiving entity is running out of memory in which to store blocks for incremental redundancy combining, then the receiving entity can signal the transmitting entity using this message. The transmitting entity can, in turn, factor this 25 information into its choice of MCS for subsequent transmissions.

According to another exemplary embodiment of the present invention, another message can be transmitted which informs the transmitting entity whether the receiving entity currently prefers resegmentation of retransmitted blocks. If so, then the transmitter may adjust the MCS of retransmitted blocks relative to the MCS used to 30 originally transmit the block which is being retransmitted. Otherwise, if the receiving

-8-

entity informs the transmitting entity that resegmentation is not preferred, then the transmitting entity can retransmit blocks using the original MCS.

According to one exemplary EGPRS embodiment, the resegmentation message is transmitted in control blocks on the downlink, while the incremental redundancy message  
5 is transmitted in control blocks on the uplink.

### BRIEF DESCRIPTION OF THE DRAWINGS

These and other objects, features and advantages of the present invention will  
10 become more apparent upon reading from the following detailed description, taken in conjunction with the accompanying drawings, wherein:

FIG. 1(a) and FIG.1(b) are diagrams of modulation constellations for QPSK and 16QAM modulation schemes, respectively;

FIG. 2 depicts information mapping in a conventional system operating in  
15 accordance with GSM;

FIG. 3 illustrates a conventional variable redundancy technique;

FIGS. 4(a) and 4(b) depict conventional control signalling techniques associated with link adaptation techniques;

20 FIG. 5(a) is a block diagram of a GSM communication system which advantageously uses the present invention;

FIG. 5(b) is a block diagram used to describe an exemplary GPRS optimization for the GSM system of FIG. 5(a);

FIGS. 6(a)-6(d) describe exemplary embodiments of the present invention  
25 including a message field which indicates whether or not incremental redundancy is currently employed at a receiving entity;

FIGS. 6(e)-6(h) depict exemplary embodiments of the present invention including a message field which indicates whether or not resegmentation of retransmitted blocks is to be performed;

Figure 7 is a table illustrating exemplary relationships between the MCS for an originally transmitted block and a corresponding, retransmitted block; and

Figure 8 is an exemplary EGPRS embodiment of the present invention.

5

## DETAILED DESCRIPTION

The following exemplary embodiments are provided in the context of TDMA radiocommunication systems. However, those skilled in the art will appreciate that this access methodology is merely used for the purposes of illustration and that the present invention is readily applicable to all types of access methodologies including frequency  
10 division multiple access (FDMA), TDMA, code division multiple access (CDMA) and hybrids thereof.

Moreover, operation in accordance with GSM communication systems is described in European Telecommunication Standard Institute (ETSI) documents ETS 300 573, ETS 300 574 and ETS 300 578, which are hereby incorporated by reference.  
15 Therefore, the operation of the GSM system in conjunction with the proposed GPRS optimization for packet data (hereafter referred to simply as "GPRS") is only described herein to the extent necessary for understanding the present invention. Although, the present invention is described in terms of exemplary embodiments in an enhanced GPRS system, those skilled in the art will appreciate that the present invention could be used in  
20 a wide variety of other digital communication systems, such as those based on wideband CDMA or wireless ATM, etc.

Referring to FIG. 5(a), a communication system 10 according to an exemplary GSM embodiment of the present invention is depicted. The system 10 is designed as a hierarchical network with multiple levels for managing calls. Using a set of uplink and  
25 downlink frequencies, mobile stations 12 operating within the system 10 participate in calls using time slots allocated to them on these frequencies. At an upper hierarchical level, a group of Mobile Switching Centers (MSCs) 14 are responsible for the routing of calls from an originator to a destination. In particular, these entities are responsible for setup, control and termination of calls. One of the MSCs 14, known as the gateway

-10-

MSC, handles communication with a Public Switched Telephone Network (PSTN) 18, or other public and private networks.

At a lower hierarchical level, each of the MSCs 14 are connected to a group of base station controllers (BSCs) 16. Under the GSM standard, the BSC 16 communicates with a MSC 14 under a standard interface known as the A-interface, which is based on the Mobile Application Part of CCITT Signaling System No. 7.

At a still lower hierarchical level, each of the BSCs 16 controls a group of base transceiver stations (BTSs) 20. Each BTS 20 includes a number of TRXs (not shown) that use the uplink and downlink RF channels to serve a particular common geographical area, such as one or more communication cells 21. The BTSs 20 primarily provide the RF links for the transmission and reception of data bursts to and from the mobile stations 12 within their designated cell. When used to convey packet data, these channels are frequently referred to as packet data channels (PDCHs). In an exemplary embodiment, a number of BTSs 20 are incorporated into a radio base station (RBS) 22. The RBS 22 may be, for example, configured according to a family of RBS-2000 products, which products are offered by Telefonaktiebolaget L M Ericsson, the assignee of the present invention. For more details regarding exemplary mobile station 12 and RBS 22 implementations, the interested reader is referred to U.S. Patent Application Serial No. 08/921,319, entitled "A Link Adaptation Method For Links using Modulation Schemes That Have Different Symbol Rates", to Magnus Frodigh et al., the disclosure of which is expressly incorporated here by reference.

An advantage of introducing a packet data protocol in cellular systems is the ability to support high data rate transmissions and at the same time achieve a flexibility and efficient utilization of the radio frequency bandwidth over the radio interface. The concept of GPRS is designed for so-called "multislot operations" where a single user is allowed to occupy more than one transmission resource simultaneously.

An overview of the GPRS network architecture is illustrated in Figure 5(b). Since GPRS is an optimization of GSM, many of the network nodes/entities are similar to those described above with respect to Figure 5(a). Information packets from external networks will enter the GPRS network at a GGSN (Gateway GPRS Service Node) 100.

-11-

The packet is then routed from the GGSN via a backbone network, 120, to a SGSN (Serving GPRS Support Node) 140, that is serving the area in which the addressed GPRS mobile resides. From the SGSN 140 the packets are routed to the correct BSS (Base Station System) 160, in a dedicated GPRS transmission. The BSS includes a plurality of  
5 base transceiver stations (BTS), only one of which, BTS 180, is shown and a base station controller (BSC) 200. The interface between the BTSs and the BSCs are referred to as the A-bis interface. The BSC is a GSM specific denotation and for other exemplary systems the term Radio Network Control (RNC) is used for a node having similar functionality as that of a BSC. Packets are then transmitted by the BTS 180 over the air  
10 interface to a remote unit 210 using a selected information transmission rate.

A GPRS register will hold all GPRS subscription data. The GPRS register may, or may not, be integrated with the HLR (Home Location Register) 220 of the GSM system. Subscriber data may be interchanged between the SGSN and the MSC/VLR 240 to ensure service interaction, such as restricted roaming. As mentioned above, the  
15 access network interface between the BSC 200 and MSC/VLR 240 is a standard interface known as the A-interface, which is based on the Mobile Application Part of CCITT Signaling System No. 7. The MSC/VLR 240 also provides access to the land-line system via PSTN 260.

According to exemplary embodiments of the present invention, as conceptually  
20 illustrated in Figures 6(a)-6(h), one or more additional overhead messages can be provided in the signalling between the receiving entity 600 (e.g., RBS 180 or MS 210) and the transmitting entity 610 (e.g., MS 210 or RBS 180). These messages, referred to as LA/IR and RSEG/NRSEG in Figures 6(a)-6(h) are shown as portions of control blocks which are transmitted periodically from each entity (or upon request) and which  
25 also include other messages, e.g., acknowledgement reports. Note that, although these messages are illustrated as being individually transmitted in one link in Figures 6(a)-6(h), those skilled in the art will appreciate that both, one or none of these messages can be selectively added to the control blocks transmitted in each link as will be more apparent below. Moreover, although the following discussion is from the perspective of the link  
30 630, those skilled in the art will appreciate that it applies equally to link 620.

-12-

The LA/IR message provides an explicit request of the preferred operating mode, i.e., either link adaptation or incremental redundancy. This message can be included in a control block in addition to the link quality measurements or MCS command described above with respect to Figures 4(a) or 4(b). This information can then be used by the  
5 other entity when selecting from two predetermined rules or rule sets for changing the MCS.

For example, as seen in Figure 6(a) if the receiving entity 600 transmits the LA/IR message field on link 620 (along with link quality measurements(LQM)) with a value which indicates that incremental redundancy is preferred, this implies that it  
10 currently has adequate memory capacity to continue to store blocks to support incremental redundancy combining. This informs the transmitting entity 610 that it can employ an MCS rule or rule set that makes, for example, aggressive (i.e., less robust) MCS choices, taking the link quality estimate report which is also transmitted to the transmitting entity 610 into account.

15 Alternatively, as seen in Figure 6(b), the LA/IR message may instead have a value which indicates that link adaptation is preferred by receiving entity 600. This may imply, for example, that the receiving entity 600 lacks available memory and, therefore, cannot rely on incremental redundancy combining. When it receives this message, transmitting entity 600 may then switch to a second MCS rule or rule set that makes  
20 more conservative (i.e., more robust) MCS choices, based on the link quality estimates, to ensure that the receiver achieves sufficient performance without the incremental redundancy combining.

If the LA/IR message is included with an MCS command instead of the link quality estimates, then there are again two possibilities. First, as seen in Figure 6(c), the  
25 receiving entity 600 can transmit the LA/IR message with a value indicating that incremental redundancy is preferred. This implies that the controlling entity (i.e., the receiver 600 in this example) has chosen the MCS (as signaled in the MCS command which is transmitted along with the LA/IR message) based upon the fact that incremental redundancy will be performed. Thus, the non-controlling party will note that IR  
30 combining is being performed and, therefore, shall provide retransmissions using the

-13-

same MCS as the initial transmissions. Of course, for the new transmissions, the MCS identified in the MCS command should be used.

Second, the receiving entity 600 can transmit the LA/IR message with a value indicating that incremental redundancy is not available at the receiving entity as seen in Figure 6(d). Thus, the non-controlling party will note that incremental redundancy combining is not being performed and, therefore, shall preferably provide retransmissions using the same, or close to the same, MCS as is currently employed for new block transmissions. Again, for the new transmissions, the MCS identified in the MCS command should be used.

As a second message, receiving entity 600 can also inform transmitting entity 610 whether or not retransmissions should be made with resegmented blocks, i.e., whether the MCS for retransmissions should be the same or different than the MCS for new block transmissions, using the RSEG/NRSEG message. As with the foregoing examples which provide the LA/IR message, the RSEG/NRSEG message can be transmitted with either link quality measurements or MCS commands. For example, as shown in Figure 6(e), if the RSEG message has a value which indicates that resegmentation should be used, then the transmitting entity 600 will know that a more (or less) robust MCS can be selected for retransmitting not acknowledged blocks than was used to originally transmit those blocks to receiving entity 600. The original MCS can be varied based on the link quality measurements reported on link 630, taking into account that a more robust MCS has been requested for the retransmissions (which fact may be used by transmitting entity 610 to increase the MCS for original transmissions as well).

Alternatively, if the RSEG/NRSEG message indicates that resegmentation of the blocks is not preferred (Figure 6(f)), then the transmitting entity 610 will perform retransmissions with the same MCS as the initial transmissions. This may also be taken as an indication by transmitting entity 600 that the prevailing MCS can continue to be used for new block transmissions, based also on the link quality measurements.

As with the LA/IR messages, the RSEG/NRSEG message can also be transmitted with MCS commands instead of link quality measurements. For example, as seen in

-14-

Figure 6(g), if the RSEG value is transmitted, then the transmitting entity 610 will use a more robust MCS to retransmit a resegmented version of unacknowledged blocks. The MCS for originally transmitted blocks is then dictated by the MCS command. As seen in Figure 6(h), if the NRSEG value is transmitted, then the transmitting entity will use the same MCS value for retransmitted blocks and will use the MCS indicated in the MCS command for new transmissions.

These types of additional control fields permit the receiving and transmitting entities to communicate changes associated with the transmission of original blocks and retransmitted blocks that enable the link adaptation, incremental redundancy and resegmentation techniques to be used optimally together. As mentioned above, the LA/IR and RSEG/NRSEG messages may be provided together on both links, separately on either link or in any other combination desirable. Consider, for example, another exemplary implementation for the enhanced GPRS system described above.

For Enhanced GPRS (EGPRS), i.e., the packet switched services of EDGE, there are proposed to be eight MCSs, denoted MCS-1 (most robust) through MCS-8 (least robust). As for GPRS, the network will control the MCS choices, i.e., the mobile station reports downlink quality estimates in the uplink, and the network gives uplink MCS commands to the mobile station in the downlink. Block acknowledgments are signaled in both links in so-called Control Blocks. The above mentioned quality reports and MCS commands are included in these Control Blocks. Unlike GPRS, EGPRS allow changes of the MCSs for retransmissions with certain constraints as seen in Figure 7. For new block transmissions, any MCS can be used. Under these conditions, the messages described above according to the present invention can be used as follows.

Referring now to Figure 8, in the uplink control blocks, the downlink quality estimate is signaled. The inventive LA/IR message is introduced, for example by using an additional bit flag in the control word. The network's interpretation is that if the IR value is signaled, incremental redundancy operation is possible for the mobile station 800 and the network (as represented by RBS 810) can be very aggressive when choosing an MCS, since it can rely on the fact that the mobile station 800 uses IR combining. If, on the other hand, the LA value is signaled in this field of the uplink control block, then



-15-

IR operation is not possible for mobile station 800, and hence the network should take this into account when choosing an MCS, i.e., by choosing a more robust MCS.

In the downlink, the control blocks include an MCS command is signaled, which tells the mobile station 800 which MCS (e.g., of those shown in Figure 7) should be used for transmitting uplink RLC blocks. The RSEG/NRSEG message can also be added to the downlink control blocks, e.g., using a bit flag. In this context, an NRSEG value can be interpreted by the mobile station 800 as meaning retransmissions by the mobile station use the same MCSs as the initial transmissions of those blocks. A RSEG value, on the other hand, should be interpreted by mobile station 800 as meaning that blocks to be retransmitted should be resegmented and transmitted using different (e.g., more robust) MCSs than the initial MCSs of those blocks.

In the latter case, the specific MCS to use for retransmissions can be determined by a predetermined rule stored in the mobile station. This rule could be, purely for example, that:

*"Resegment to the same MCS as the MCS commanded for new transmissions, if possible. If not possible, resegment to the least robust MCS that is more robust than the commanded MCS. If still not possible, resegment to the most robust MCS as possible."* This would mean that in some cases, the MCS for retransmissions is less robust than the MCS for the original transmission.

Another rule could be the same as above with the addition that *"If the MCS determined according to the above rule is less robust than the initial one, use the initial one instead"*. This way the MCS can only be more robust (or the same) for the retransmissions.

For some cases, it is possible to change the MCS for retransmissions without losing old IR information. This applies for changes between MCS-8 and MCS-6, and for changes between MCS-7 and MCS-5. Hence those transitions could be allowed, even if resegmentation is not allowed for other retransmissions. Thus, even if NRSEG is indicated in the downlink control block, retransmissions for blocks originally transmitted

-16-

using MCS-8 or MCS-7 can be performed using MCS-6 or MCS-5, respectively, if the ordered MCS is lower or equal to MCS-8 or MCS-7, respectively. Alternatively, the NRSEG value can be controlling. If desired, the RSEG/NRSEG flag can be extended to two bits, one indicating the RSEG/NRSEG value and one indicating whether NRSEG is valid for all MCSs.

Thus, it can be seen that the present invention provides for increase flexibility of the modulation and coding scheme choices in systems using link adaptation and Incremental Redundancy. Moreover, the strategy for the link adaptation algorithm will be more sensitive to whether incremental redundancy can/should be used at each moment, and does not have to sacrifice performance in either case. The link adaptation protocol will be more robust to memory problems in the receivers, i.e., when there is no or little memory available for incremental redundancy operation, this is taken into account in the algorithms. The present invention also makes it more likely that protocol stalling and unnecessarily large performance degradations can be avoided.

Although the invention has been described in detail with reference only to a few exemplary embodiments, those skilled in the art will appreciate that various modifications can be made without departing from the invention. Accordingly, the invention is defined only by the following claims which are intended to embrace all equivalents thereof.

-17-

**WHAT IS CLAIMED IS:**

1. A method for transferring information over a forward/reverse link pair between a transmitting entity and a receiving entity comprising the steps of:  
5 receiving, at said receiving entity, blocks of data over said forward link;  
determining a quality level of at least one of said received data blocks and said forward link; and  
transmitting, over said reverse link, an indicator to said transmitting entity which indicates a status of incremental redundancy combining at said receiving entity.  
10
2. The method of claim 1, further comprising the step of:  
transmitting, with said indicator over said reverse link, at least one link quality estimate based on a result of said determining step.
- 15 3. The method of claim 1, further comprising the step of:  
transmitting, with said indicator over said reverse link, a modulation/coding scheme command.
- 20 4. The method of claim 1, further comprising the step of:  
selecting, at said transmitting entity, a modulation/coding scheme for transmitting subsequent data blocks on said forward link based on said indicator.
5. The method of claim 4, wherein said step of selecting further comprises the step of:  
25 selecting a more robust modulation/coding scheme if said indicator informs said transmitting entity that incremental redundancy combining may be unavailable at said receiving entity.
6. The method of claim 2, further comprising the step of:

-18-

selecting, at said transmitting entity, a modulation/coding scheme for transmitting subsequent data blocks on said forward link based on said indicator and said link quality measurements.

5           7.     The method of claim 6, wherein said step of selecting further comprises the step of:

              selecting a more robust modulation/coding scheme than otherwise needed based on said link quality measurements if said indicator informs said transmitting entity that incremental redundancy combining may be unavailable at said receiving entity.

10

              8.     The method of claim 3, wherein said transmitting entity selects a first modulation/coding scheme for new blocks based on said modulation/coding scheme command and a second modulation/coding scheme for retransmitted blocks based on said indicator.

15

              9.     A method for transferring information over a forward/reverse link pair between a transmitting entity and a receiving entity comprising the steps of:

                  transmitting, by said transmitting entity, an indicator associated with resegmentation of blocks to be retransmitted by said receiving entity;

20                selecting a modulation/coding scheme, at said receiving entity, based on said indicator; and

                  retransmitting, by said receiving entity, data over said reverse link based using said selected modulation/coding scheme.

25           10.    The method of claim 9, wherein said step of selecting a modulation/coding scheme further comprises the step of:

              selecting a more robust modulation/coding scheme for retransmission than was used for original transmission if a resegmentation value is indicated.

-19-

11. The method of claim 9, wherein said step of selecting a modulation/coding scheme further comprises the step of:  
selecting a same modulation/coding scheme for retransmission as was used for original transmission if a not resegmentation value is indicated.

5

12. The method of claim 9, further comprising the step of:  
transmitting, with said indicator, a modulation/coding scheme command.

10

13. The method of claim 9, further comprising the step of:  
transmitting, with said indicator, at least one link quality measurement.

14. A method for transmitting data blocks between a transmitting entity and a receiving entity over a forward and a reverse link comprising the steps of:  
transmitting, over said forward link, a first indicator informing said  
15 receiving entity of whether resegmentation of retransmitted blocks is preferred; and  
transmitting over said reverse link, a second indicator informing said  
transmitting entity of a status of incremental redundancy combining at said receiving entity.

20

15. A receiver comprising:  
a processor for processing received data blocks;  
a memory for storing received data blocks to be combined with  
retransmitted versions of said stored data blocks; and  
means for transmitting a message indicating a status of said memory.

25

1/10

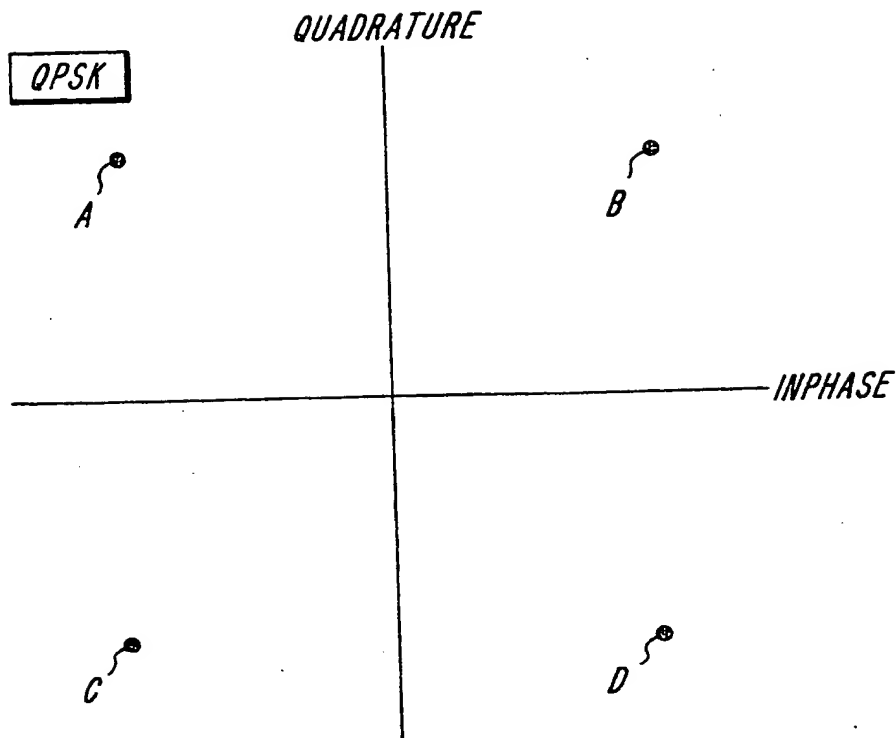


FIG. 1(a)

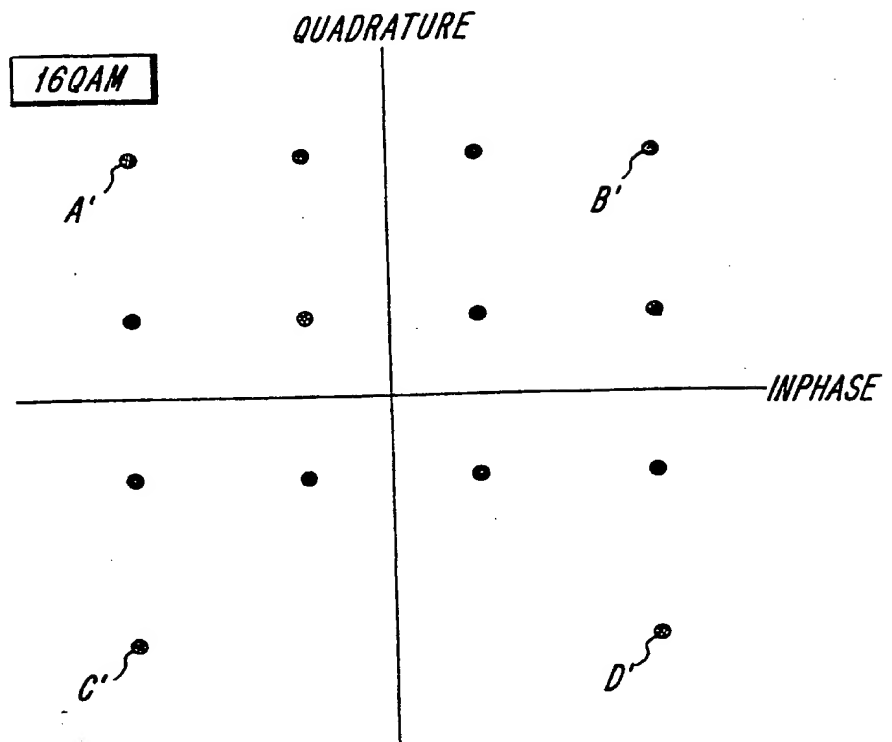
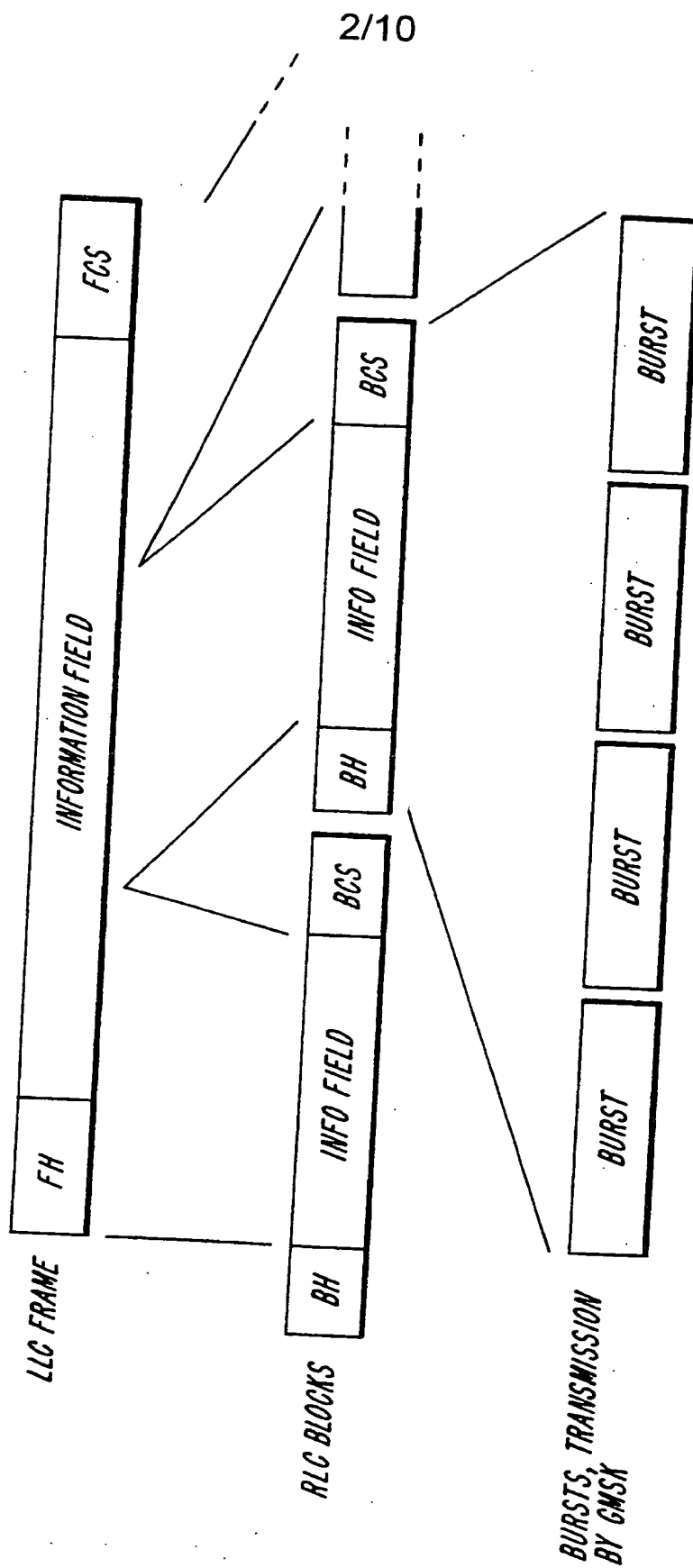


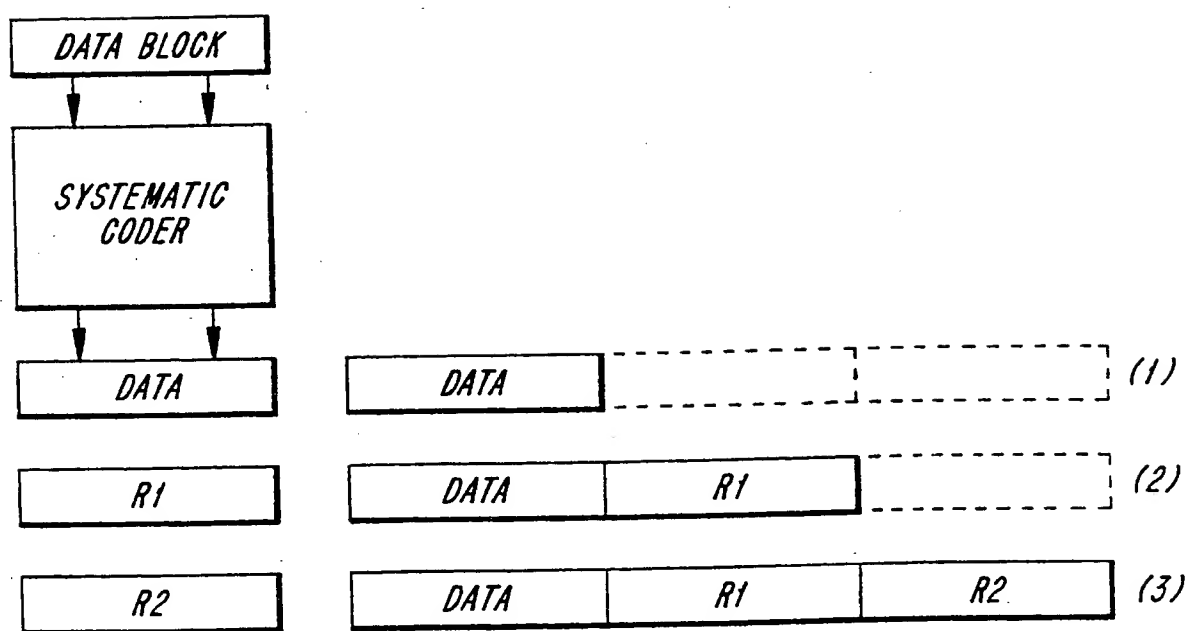
FIG. 1(b)

FIG. 2



3/10

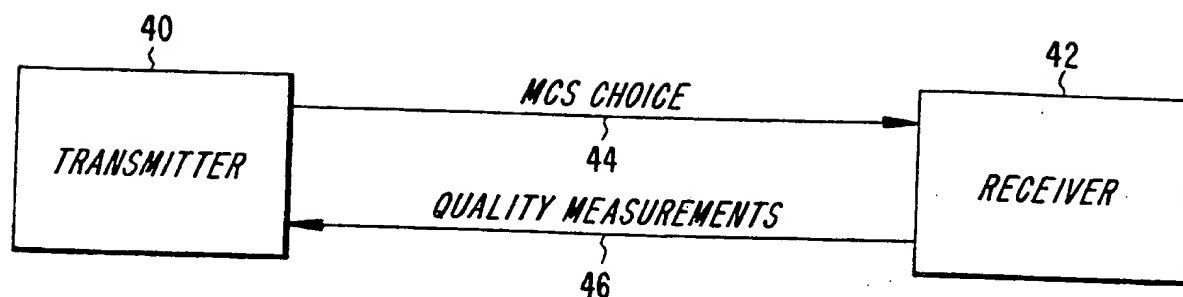
FIG. 3



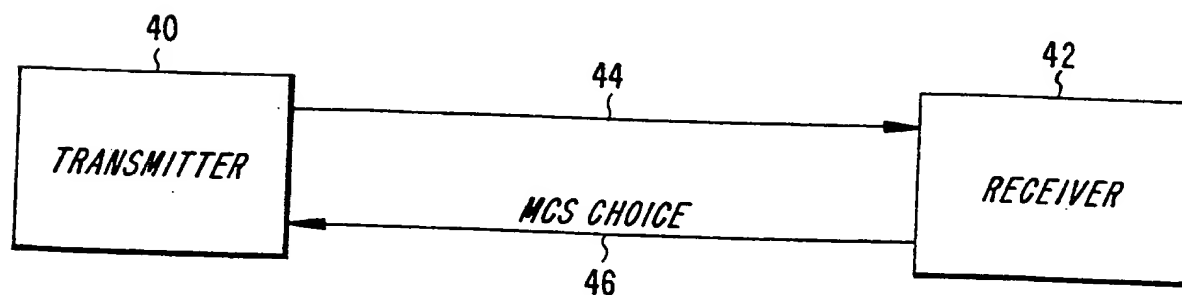
3 DECODING ATTEMPTS



4/10



*FIG. 4(a)*  
(PRIOR ART)



*FIG. 4(b)*  
(PRIOR ART)

5/10

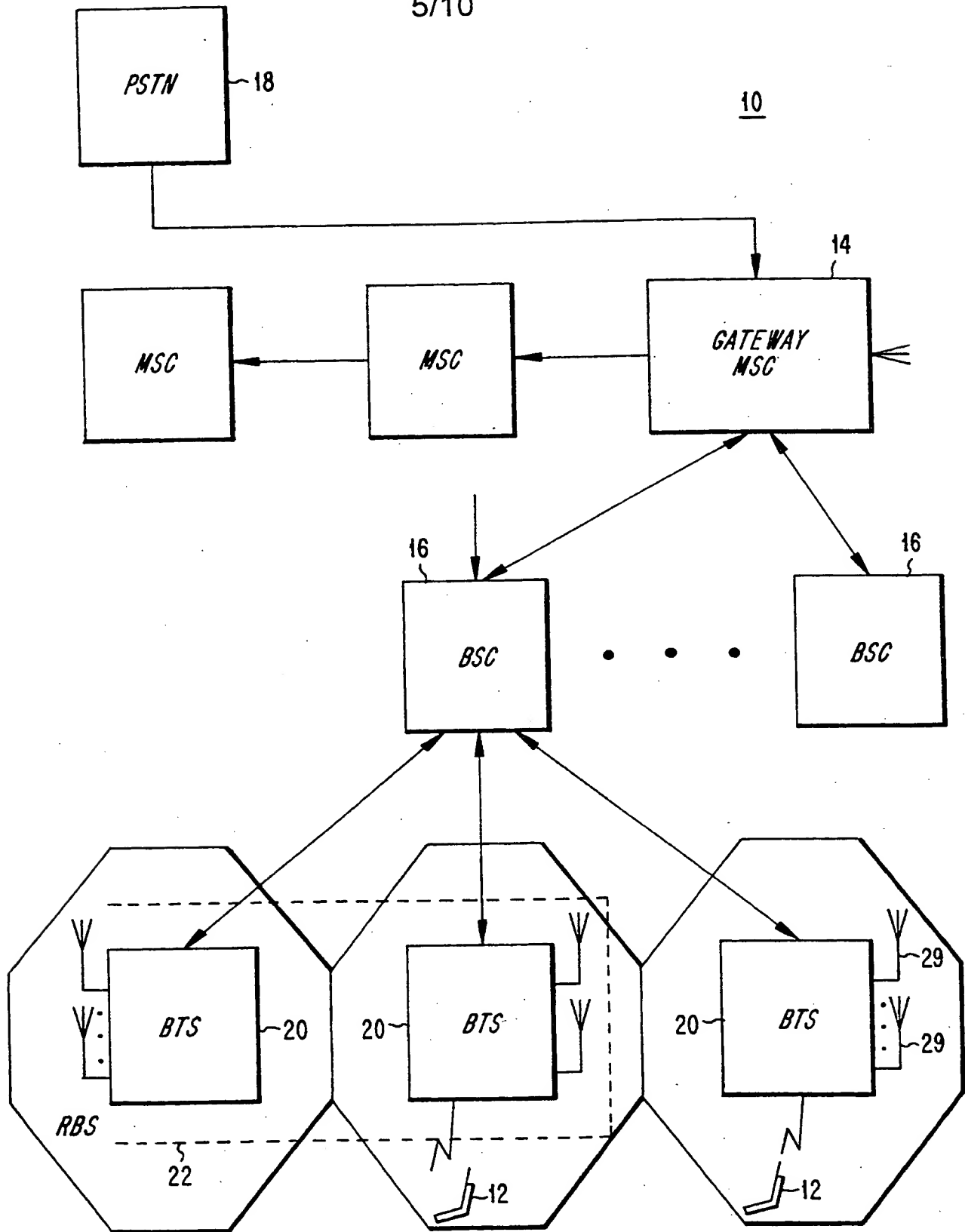
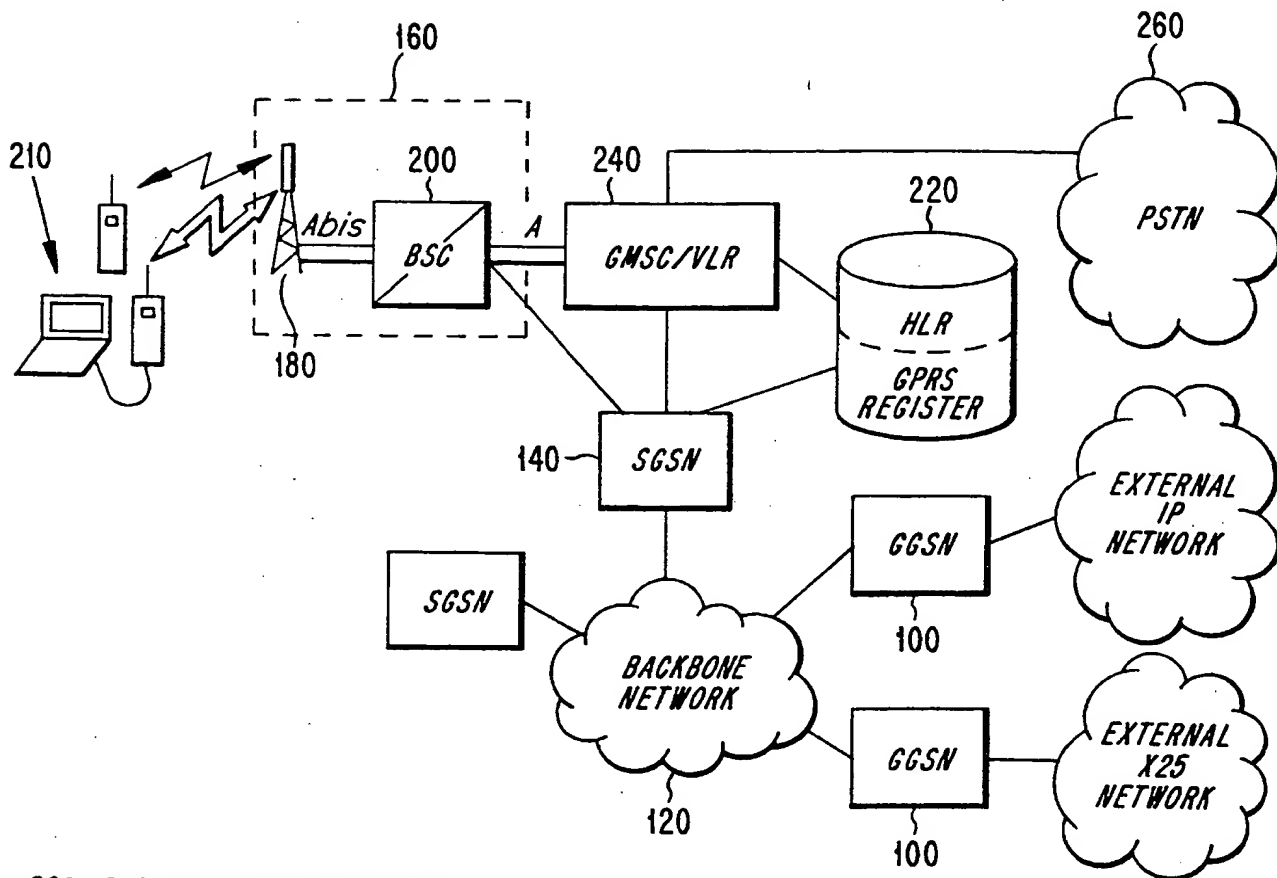


FIG. 5(a)

6/10



BSC = BASE STATION CONTROLLER  
 GMSC = GATEWAY MOBILE SWITCHING CENTER  
 VLR = VISITOR LOCATION REGISTER  
 HLR = HOME LOCATION REGISTER  
 SGSN = SERVING GPRS SUPPORT NODE  
 GGSN = GATEWAY GPRS SUPPORT NODE

FIG. 5(b)

7/10

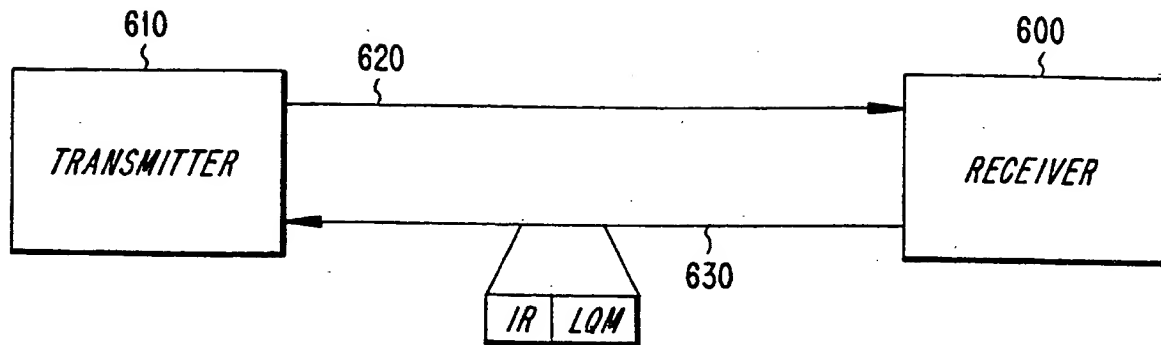


FIG. 6(a)

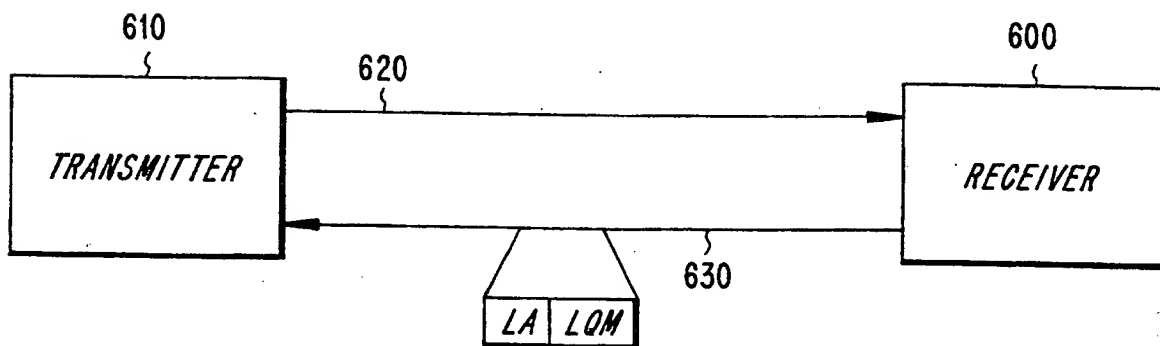


FIG. 6(b)

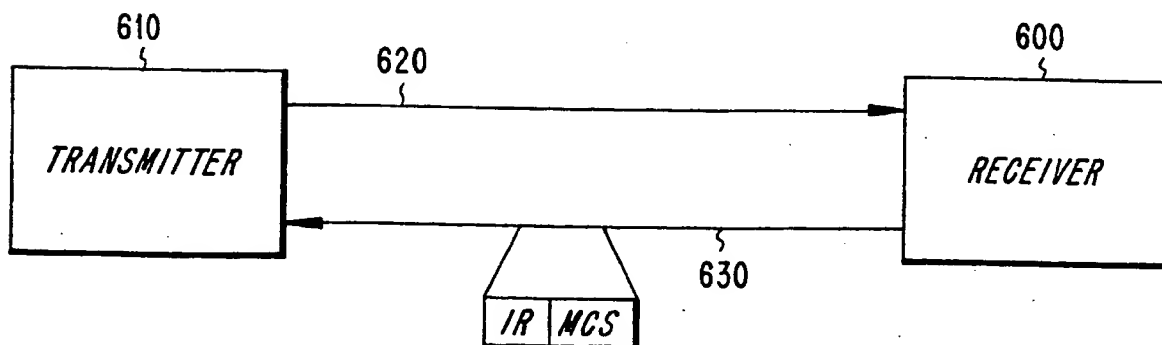


FIG. 6(c)

8/10

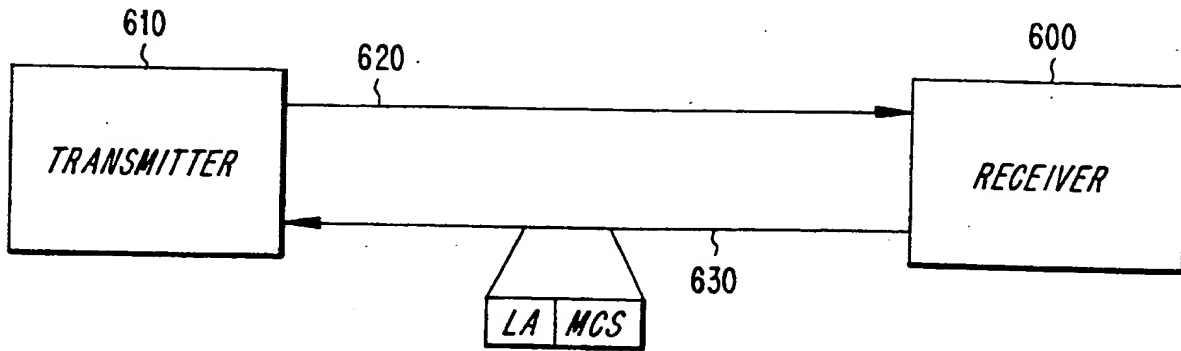


FIG. 6(d)

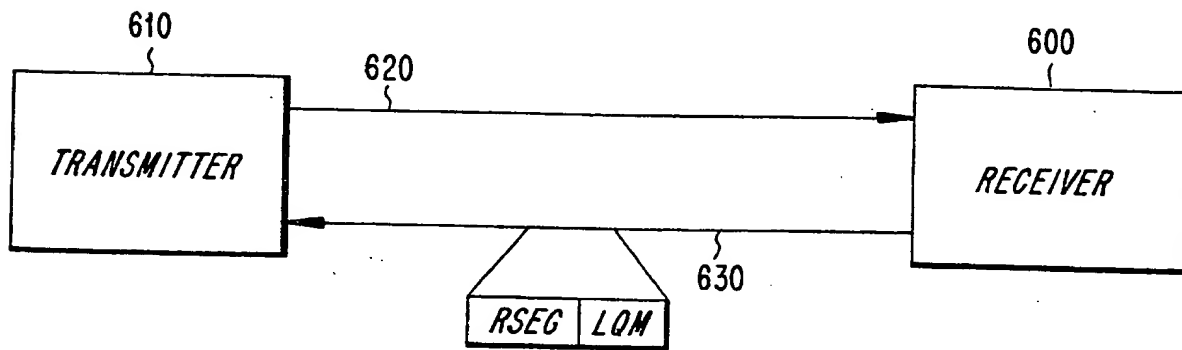


FIG. 6(e)

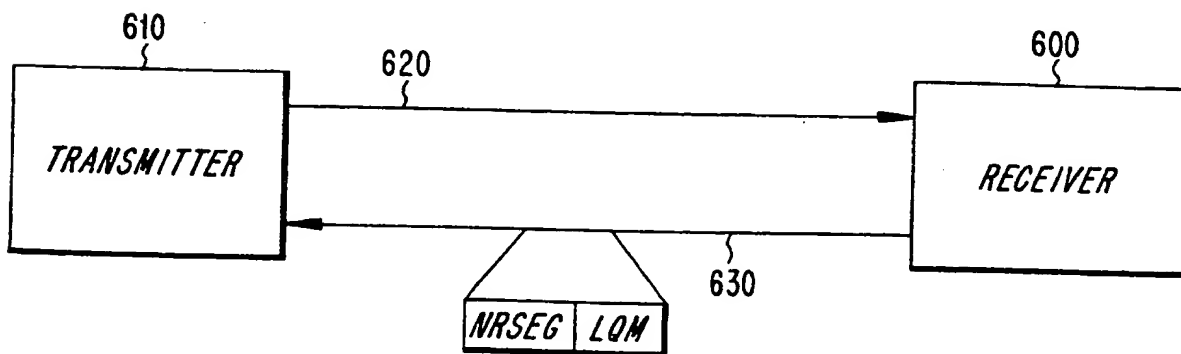


FIG. 6(f)

9/10

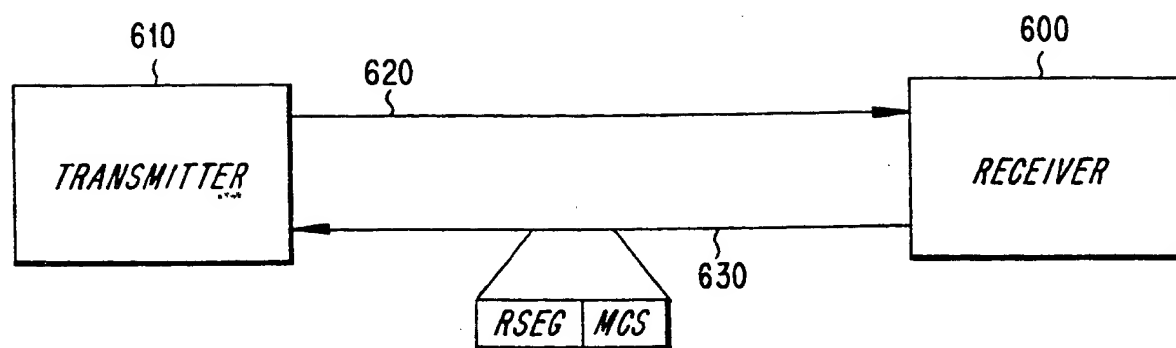


FIG. 6(g)

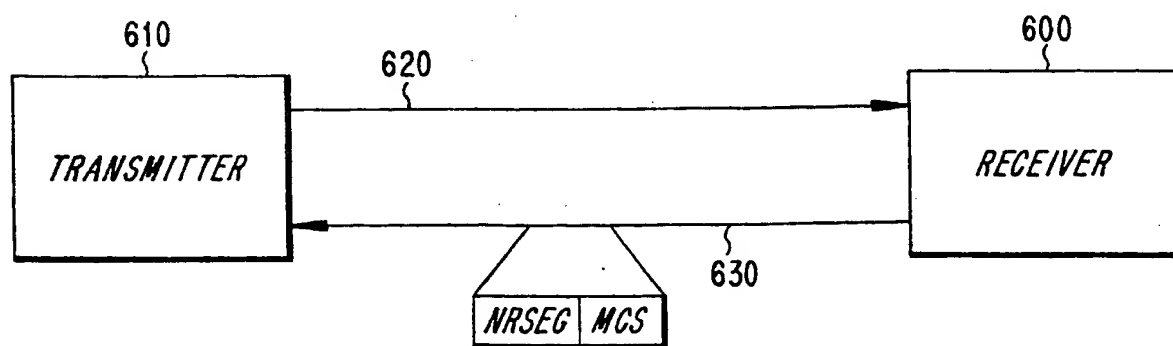


FIG. 6(h)

10/10

<i>MCS OF INITIAL TRANSMISSION OF A BLOCK</i>	<i>POSSIBLE MCS OF RETRANSMISSIONS OF THAT BLOCK</i>
<i>MCS-8 (FAMILY A)</i>	<i>MCS-8, MCS-6, MCS-3</i>
<i>MCS-7 (FAMILY B)</i>	<i>MCS-7, MCS-5, MCS-2</i>
<i>MCS-6 (FAMILY A)</i>	<i>MCS-8, MCS-6, MCS-3</i>
<i>MCS-5 (FAMILY B)</i>	<i>MCS-7, MCS-5, MCS-2</i>
<i>MCS-4 (FAMILY C)</i>	<i>MCS-4, MCS-1</i>
<i>MCS-3 (FAMILY A)</i>	<i>MCS-3</i>
<i>MCS-2 (FAMILY B)</i>	<i>MCS-2</i>
<i>MCS-1 (FAMILY C)</i>	<i>MCS-1</i>

FIG. 7

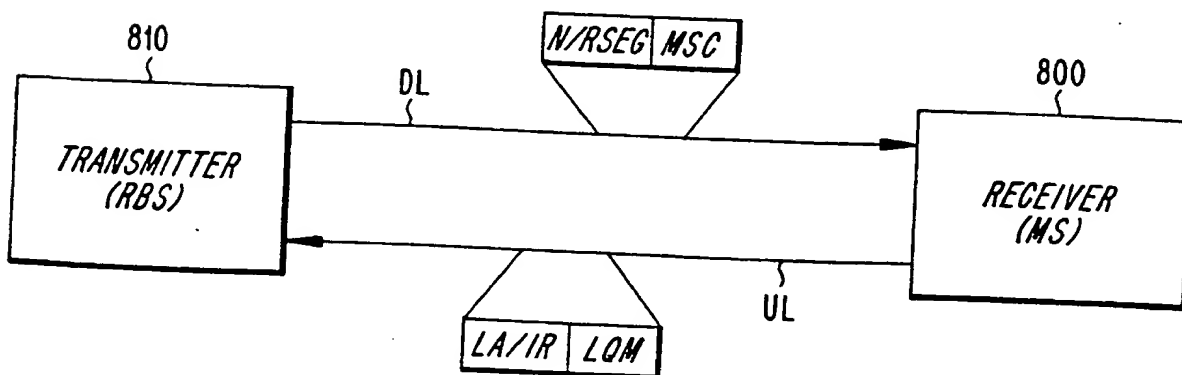


FIG. 8

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/SE 00/00269

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC 7 H04L1/12 H04L1/18

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4 422 171 A (WORTLEY DAVID L ET AL) 20 December 1983 (1983-12-20) column 9, line 63 - line 66 column 12, line 31 - line 36 column 14, line 9 - line 13 column 15, line 20 - line 23 column 18, line 38 - line 43 ---	1,3-5, 14,15
Y	PATENT ABSTRACTS OF JAPAN vol. 1996, no. 04, 30 April 1996 (1996-04-30) -& JP 07 336336 A (TOSHIBA CORP), 22 December 1995 (1995-12-22) abstract --- -/-	1,3-5, 14,15

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

28 June 2000

Date of mailing of the international search report

05/07/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Ghigliotti, L



# INTERNATIONAL SEARCH REPORT

Int. l. Application No

PCT/SE 00/00269

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP 0 794 631 A (KOKUSAI DENSHIN DENWA CO LTD) 10 September 1997 (1997-09-10) page 9, line 51 -page 10, line 5 figure 7 -----	1,3-5
Y	HANZO L ET AL: "AUTOMATIC REPEAT REQUEST ASSISTED CORDLESS TELEPHONY" 1995 FOURTH IEEE INTERNATIONAL CONFERENCE ON UNIVERSAL PERSONAL COMMUNICATIONS, TOKYO, JP, no. 4, 6 November 1995 (1995-11-06) - 10 November 1999 (1999-11-10), pages 934-938, XP000690088 INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS ISBN: 0-7803-2955-4 page 935, right-hand column, line 17 - line 21 -----	1,3-5
X	US 4 701 923 A (KAWABE MANABU ET AL) 20 October 1987 (1987-10-20) abstract -----	9-12
Y	column 2, line 3 - line 20	14
A	-----	13
Y	US 4 344 171 A (LIN SHU ET AL) 10 August 1982 (1982-08-10) column 8, line 21 - line 52 -----	15
A	US 5 754 754 A (DUDLEY JOHN G ET AL) 19 May 1998 (1998-05-19) column 2, line 30 - line 35 -----	1-15
A	KALLEL S ET AL: "AN ADAPTIVE INCREMENTAL REDUNDANCY SELECTIVE-REPEAT ARQ SCHEME FOR FINITE BUFFER RECEIVERS*" IEEE INFOCOM '91, BAL HARBOUR, FL, USA, vol. 2, no. 10, 7 - 8 April 1991, pages 791-796, XP000223405 INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS ISBN: 0-87942-694-2 * section 3.2 * -----	1-15

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/SE 00/00269

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 4422171 A	20-12-1983	DE 3176417 D EP 0055404 A JP 57171861 A	08-10-1987 07-07-1982 22-10-1982
JP 07336336 A	22-12-1995	NONE	
EP 0794631 A	10-09-1997	JP 9298526 A US 5907563 A	18-11-1997 25-05-1999
US 4701923 A	20-10-1987	JP 1973604 C JP 6097748 B JP 61163727 A JP 1762356 C JP 4053337 B JP 61164352 A CA 1235189 A DE 3685962 A DE 3685962 T EP 0188271 A	27-09-1995 30-11-1994 24-07-1986 28-05-1993 26-08-1992 25-07-1986 12-04-1988 20-08-1992 11-03-1993 23-07-1986
US 4344171 A	10-08-1982	CA 1157121 A DE 3168185 D EP 0054118 A JP 1250167 C JP 57113657 A JP 59025536 B	15-11-1983 21-02-1985 23-06-1982 14-02-1985 15-07-1982 19-06-1984
US 5754754 A	19-05-1998	NONE	